

Comply Direct exists to deliver commercial and environmental benefits to all its partners and is committed to continuous improvement in all areas of our business, including our management systems, as per our company mission statement –

"Comply Direct delivers environmental and commercial benefits inspired by always finding a better way."

Business continuity planning is vitally important and Comply Direct maintains a comprehensive business continuity management system which meets the requirements of ISO22301:2012.

Like many companies, Comply Direct is exposed to potential risks that could disrupt or destroy critical business functions and the delivery of its services. In the event of an incident, the safety and security of employees is of the highest priority, along with ensuring the continuity of critical business functions whilst being committed to satisfying applicable legal and other requirements.

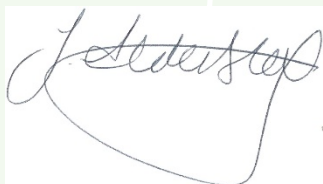
It is our aim to have in place an effective and sustainable business strategy, and we therefore undertake regular business impact analysis exercises in order to identify the key services which would have the biggest impact to business continuity in the event of an emergency or business disruption. Additionally, key nominated employees will conduct a quarterly risk assessment to identify potential causes of disruption to business activities, and risk profiling in line with the company's risk appetite.

Based on the output of the business impact analysis and risk assessment, Comply Direct has established a business continuity plan which addresses the key risk factors of computer/telecoms failure, key equipment failure, access to premises, legal/regulatory action, utilities failure, human resource issues and terrorism/acts of malice. This is regularly tested and evaluated for effectiveness and improvements made where appropriate.

Comply Direct has established a number of objectives in line with our purpose, strategy and aims and associated risks which serve to mitigate the risk of business disruption. These are reviewed, monitored, measured and reported on quarterly.

This policy is publicly available to all interested parties and has been communicated throughout the Company and to all new Comply Direct suppliers.

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